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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing) (Not Including References Cited) Total Number of Pages in This Submission	Application Number	10/005,065
	Filing Date	12/4/2001
	First Named Inventor	Krasulick
	Art Unit	2828
	Examiner Name	Quyen Phan Leung
4	Attorney Docket Number	OPT-003

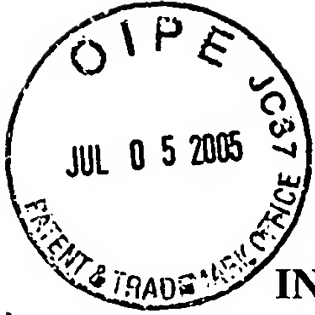
ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
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<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	Form PTO-1449 (1 pg.), References C5-C6, and Return Receipt Postcard.
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
	<input type="checkbox"/> Landscape Table on CD	
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<input type="checkbox"/> Reply to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	Rauschenbach Patent Law Group, LLC		
Signature			
Printed name	Kurt Rauschenbach		
Date	July 1, 2005	Reg. No.	40,137

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature			
Typed or printed name	Kurt Rauschenbach	Date	July 1, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PATENT  
Attorney Docket No. OPT-003

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT(S): KRASULICK, ET AL.  
SERIAL NO.: 10/005,065 GROUP NO.: 2828  
FILING DATE: December 4, 2001 EXAMINER: Quyen Phan Leung  
TITLE: Electro-Absorption Modulated Laser With High Operating Temperature Tolerance

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. BOX 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the provisions of 37 C.F.R. §1.97, Applicants hereby make of record the references listed on the accompanying Form PTO-1449 for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the references are enclosed.

**REMARKS**

In accordance with the provisions of 37 C.F.R. §1.97, this statement is being filed (CHECK ONE):

- ☐ (1) within three months of the Filing Date of a national application other than a continued prosecution application under § 1.53(d); or within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; or before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114; or
- ☒ (2) after the period defined in (1) but before the mailing date of a Final Action or Notice of Allowance, and
- ☒ the Statement required under § 1.97(e) below , OR
- ☐ the fee set forth in § 1.17(p), namely \$180.00, is included herein, or
- ☐ (3) after the mailing date of a Final Action or Notice of Allowance but before the payment of the Issue Fee, AND
- ☐ the Statement required under § 1.97(e) below; and

☐ the fee set forth in § 1.17(p), namely \$180.00 is included herein.

It is respectfully requested that each of the references shown on the attached Form PTO-1449 be made of record in this application.

### STATEMENT

As required under §1.97(e), Applicants, through the undersigned, hereby state either that [check the appropriate space]:

- ☒ 1. That each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of the Information Disclosure Statement; or
- ☐ 2. That no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the Information Disclosure Statement.


### FEE AUTHORIZATION

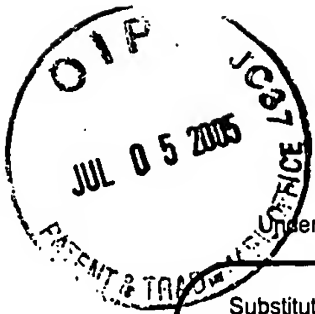
Applicants believe that there is no fee associated with this submission, however should it be determine that a fee is due, the Commissioner is hereby authorized to charge the missing fee to our Deposit Account, No. 501211.

Date: July 1, 2005  
Reg. No. 40,137

Tel. No.: (781) 271-1503  
Fax No.: (781) 271-1527

Respectfully submitted,

  
Kurt Rauschenbach  
Atty/Agent for Applicant(s)  
Rauschenbach Patent Law Group, LLC  
Post Office Box 387  
Bedford, MA 01730



<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/005,065
				Filing Date	12/4/2001
				First Named Inventor	Krasulick
				Art Unit	2828
				Examiner Name	Quyen Phan Leung
Sheet	1	of	1	Attorney Docket Number	OPT-003

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T <sup>2</sup>
	C5	CLESCA, 3.2nm Wavelength Tuning Via Temperature Control For Integrated Electroabsorption Modulator/DFB Laser With High Tolerance To Chromatic Dispersion, Electronics Letters, May 9, 1996, Pgs. 927-928, Vol. 32, No. 10.		
	C6	CLESCA, 2.5-Gbit/s, 1291-km Transmission Over Non-Dispersion-Shifted Fibre Using An Integrated Electroabsorption Modulator/DFB Laser Module, Proc. 21st Eur. Conf. on Opt.Comm., 1995, Pgs. 995-996, Brussels, Belgium.		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.